

PRIME PARTNERS LTD

ON-BOARDING FORM FOR LEGAL PERSONS (Corporate/ Entity)

A. CLIENT'S DETAILS

Existing client : ☐ Yes ☐ No PLF ☐ Bonds/ Notes ☐ Others ☐

If Yes, Folio no: Last transaction date:

Name of Legal Person / Arrangements :

(Legal Persons - Private Companies, Partnerships, Sociétés, Foundations or any other body of persons other than legal arrangements)

(Legal Arrangements- Trustees, Settlers, Beneficiaries, Protectors, Enforcers, Others)

BRN / ERN No :

Date and Country of Incorporation/ Registration :

Ultimate Beneficial Owner(s) :

Sector:.....

Registered Office Address :

Contact Nos (Tel/ Fax) :

Fax:.....

Nature of Business:.....

Principal Place of Business operations (if different) :

Any Trading Names :

Email :

Monetary Transaction :

Brief Details of transaction:

B. HAVE THE ENTITY/DIRECTORS/SHAREHOLDERS/BENEFICIAL OWNERS EVER BEEN CONVICTED OF ANY OFFENCE IN MAURITIUS OR ELSEWHERE OR ANY PROCEEDINGS NOW PENDING AGAINST ENTITY/DIRECTORS/ SHAREHOLDERS WHICH MAY LEAD TO A CONVICTION OR YOU MAY WISH TO DRAW OUR ATTENTION

☐ YES ☐ NO

If yes, please specify:

I / We hereby declare that to the best of my/our knowledge and belief, the statements made in this application and any documents submitted are true and complete

1. The monies being invested pursuant to this application are not proceeds of illegal / criminal activities and my/ our investment is not designed to conceal such proceeds and to avoid prosecution for an offence or the monies involved as a result of this transaction will be used for its intended purpose and/or not for illegal / criminal activities

2. All information provided is true and correct and I / we agree to inform of any change in the personal information provided.

3. I / We understand that I /We should make my / our own appraisal of the risks arising from the subscription to or acquisition of this instrument and should consult to the extent necessary my/our legal, financial,tax, accounting and other professional advisors in this respect prior to any subscription and acquisition (wherever applicable).

4. I / We consent PPL to contact us at any point in time while conducting on-going due diligence.

4. Made in good faith and full awareness of the consequence of my statement under the Financial Intelligence and Anti-Money Laundering Act and the FIAML Regulations 2018.

SIGNATURE (1) :

SIGNATURE (2) :

DATE :

DATE :

CHECK LIST FOR DOCUMENTS TO BE SUBMITTED

1. Resolution of Directors / Shareholders	<input type="checkbox"/> Yes <input type="checkbox"/> No	5. Utility Bill of Entity	<input type="checkbox"/> Yes <input type="checkbox"/> No
2. Certificate of current standing	<input type="checkbox"/> Yes <input type="checkbox"/> No	6. KYC of Directors/ Ultimate Beneficial Owner	<input type="checkbox"/> Yes <input type="checkbox"/> No
3. Certificate of Incorporation	<input type="checkbox"/> Yes <input type="checkbox"/> No	7. Constitution/ Charter/Deeds/Others	<input type="checkbox"/> Yes <input type="checkbox"/> No
4. Business / Emmployees Registration Certificate	<input type="checkbox"/> Yes <input type="checkbox"/> No	8. Others	

(All of the above documents to be in Originals or Certified True Copies)

PRIME PARTNERS LIMITED COMPLIES WITH THE DATA PROTECTION ACT

1. The Applicant(s) hereby accept(s) to disclose to Prime Partners Ltd (PPL) all personal information which PPL undertakes to keep confidentially and securely in line with the provisions of the Data Protection Act 2017 as and when amended;

2. Except where required by law or a Court Order. PPL undertakes not to disclose the personal information of the Applicant to any Third Party without the express consent of the Applicant;

3. The information gathered by PPL may be subject to amendment as the Applicant has the right to access to the possibility of correction upon payment of fee (if any);

4. As regard to information collected for corporates/businesses/ and any non-individual applicant as may be required by PPL, the Applicant agrees that its Officers, Employees and Directors are made aware of the data protection provisions and that the Officers, Employees and Directors give their consent for collection and processing and transfer of their personal information by PPL in accordance with the data protection guidelines.

OFFICE USE ONLY

<div>Processed by:</div> <div>Verified by:</div> <div>1st Authorisation :</div> <div>2nd Authorisation :</div> <div>Amount Banked on: Cleared at Bank on: Dealer :.....</div> <div>Certificate No: Collected / Dispatched on : Dividend Mode :.....</div> <div>Remarks:</div>	<div>Signature:</div> <div>Signature:</div> <div>Signature:</div> <div>Signature:</div> <div>Signature:</div> <div>Signature:</div> <div>Signature:</div>	<div>Date:</div> <div>Date:</div> <div>Date:</div> <div>Date:</div> <div>Date:</div> <div>Date:</div> <div>Date:</div>	<div>To be completed and signed by the Compliance Officer</div> <div>Verified by :</div> <div>Remarks:</div> <div>.....</div> <div>.....</div> <div>.....</div> <div>Signature: Date:</div>
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